



Subject **IOC Terms of Reference**
Purpose **For Decision**
From **Ros Levenson, IOC Chair**

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1. Summary

The Investigations Oversight Committee (IOC) is the Board committee with responsibility to overseeing investigations into architects' conduct and competence.

The Terms of Reference for the IOC is a matter for the Board. It is proposed that the terms of reference for the IOC includes the oversight of investigations into misuse of the title architect.

2. Recommendations

It is recommended that the Board agrees to the revised Investigations Oversight Committee Terms of Reference at [Annex A](#).

3. Open Session

4. Contribution to the Board's Purpose and Objectives

In delivering the Act, ARB's objectives are:

Consumers: will have confidence in ARB's process for investigating and adjudicating on a complaint about an architect's conduct or competence.

Architects: A robust and fair disciplinary procedure will maintain the reputation of the profession and the ARB as its regulator.

5. Key Points

- i. At its meeting of 21 September 2018 the IOC considered that its mandate should be extended to having oversight of ARB's investigations into breaches of section 20 of the Architects Act 1997 – misuse of the title 'architect'.
- ii. It is anticipated that there will be an increase of regulatory activity in this area with the Board agreeing at its September meeting for additional funding to regulate the title and raise awareness of the Register. The IOC will be a convenient and appropriate vehicle for the Board to monitor the success of this work.
- iii. The amended draft terms of reference reflect this increase in scope, together with some minor drafting amendments for accuracy.

6. Resource implications

None identified.

7. Risk Implications

The Board's current oversight of regulation of title work is limited to Board meetings, which offers insufficient opportunity for an in-depth consideration of the issues.

8. Communication

The Board is committed to having appropriate and proportionate oversight of all of its statutory functions, including its efforts to regulate the title architect.

9. Equality and Diversity Implications

None identified.

10. Further Actions

The IOC will decide what assurances it needs that regulatory work is being carried out appropriately.